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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of:

Hua et al.

Serial No: 09/974,716 /

: Examiner: Not Assigned

Filed: October 9, 2001

For: TRIPTYCENE ANALOGS

TRANSMITTAL

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed November 27, 2001 included herewith are:

- Check in the amount of \$971 (\$370 filing fee; \$270 for 30 claims over 20; \$126 for 3 independent claims over 3; \$140 for multiple dependent claim surcharge; \$65 declaration surcharge). Applicant has paid fees according to the fee schedule effective as of October 1, 2001. It is believed that there are 6 total independent claims, not 7 as indicated in the Notice to File Missing Parts, therefore the fee for 3 independent claims over 3 is enclosed;
- Copy of Formalities Letter (Notice to File Missing Parts); and
- Executed Joint Inventors' Declaration for Patent Application and Power of Attorney.

If the enclosed amount is incorrect, please charge any deficiency or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

Susan K. Doughty
Susan K. Doughty
Reg. No. 43,595

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l1a: December 6, 2001





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/974,716	10/09/2001	Duy Hua	

CONFIRMATION NO. 7851

FORMALITIES LETTER



OC000000007122420

GREENLEE, WINNER AND SULLIVAN, P.C.
5370 Manhattan Circle, Suite 201
Boulder, CO 80303

Date Mailed: 11/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$578.
 - \$270 for 30 total claims over 20.
 - \$168 for 4 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1013.

01/11/2002 BSAYAS11 00000097 09974716

01 FC:201	370.00 OP
02 FC:203	270.00 OP
03 FC:204	140.00 OP
04 FC:202	126.00 OP
05 FC:205	65.00 OP

A copy of this notice **MUST** be returned with the reply.

Ed
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE